

CNA SURETY

UNIVERSAL SURETY OF AMERICA

APPLICATION FOR PENSION TRUST (ERISA) COMMERCIAL BLANKET BOND

Non-Union Plans Only

Qualifying Assets Only

Requested Bond Amount: \$ _____ Effective Date: _____

Is this bond required because more than 5% of the Plan assets are "non-qualifying"? Yes No

If yes, please contact our office. Any questions on what constitutes a qualifying and non-qualifying asset should be addressed with your attorney or CPA.

Legal Name of Plan(s): _____

ERISA Multiple Plans? Yes No

Business Address: _____

Mailing Address: _____

Total Plan Assets: \$ _____ Number of Trustees: _____ Number of Participants: _____

*Coverage provided is commercial blanket fidelity and is limited to trustees of the plan. This bond does not cover independent Plan Administrators.

Are all trustees actual employees of the business (which is not a union)? Yes No

Is the Plan audited by a CPA? Yes No Date of last audit: _____

If no, why is the plan not audited? _____

Previous ERISA coverage? Yes No If yes, list bond carrier: _____

Has applicant experienced any claims in the past five years? Yes No

(If yes, give specific details on each incident, and any changes made to prevent a recurrence, on a separate sheet.)

COMPLETE THE FOLLOWING FOR REQUESTS OF \$500,000 AND LARGER

What % of Plan assets are employer securities? _____ %

Are Plan accounts reconciled by someone not authorized to deposit or withdraw funds? Yes No

Are two (2) or more signatures required for withdrawals and larger checks? Yes No

Are separate corporate trust account(s) established for the Plan assets? Yes No

If yes, where are the assets held? _____

Penalty Amount*	3-Year Prepaid Premium
\$100,000	\$215
150,000	238
200,000	261
250,000	282
300,000	302
350,000	320
400,000	342
450,000	363
500,000	383

*Contact your agent for other penalty and premium amounts.

*Add 10% for Growth Protection Rider

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Form F7145-2-2011

The undersigned agrees the above representations are an accurate statement of current information and procedures. This application, with Bond Declarations and Provisions, and endorsements issued to form a part thereof, constitute the entire contract.

Signature of Officer or Employer

Official Title



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